

Contents

| | |
|--|------------|
| Preface | xix |
| I. Introduction to the Survey | xxi |
| I.1. Description of the Questionnaires | xxi |
| I.2. Description of the Methods | xxii |
| | |
| I. Finland | |
| Rationing Health Care in Europe – Finland, <i>Janne Martikainen & Hannu Valtonen</i> | 3 |
| 1. Introduction | 5 |
| 2. General Description of the Finnish Health Care System | 6 |
| 2.1. <i>Macroeconomic Indicators</i> | 7 |
| 2.2. <i>Finnish Health Care System, Its Financing and Expenditure</i> | 8 |
| 2.3. <i>Professionals in Health Care Sector in Finland</i> | 10 |
| 2.4. <i>Health Status and the Utilization of Health Care Services</i> | 11 |
| 2.5. <i>Most Recent and Main Reforms and Policies in the Finnish Health Care System</i> | 12 |
| 2.6. <i>Rationing in the Finnish Health Care System</i> | 13 |
| 3. Survey Results | 13 |
| 3.1. <i>Professionals</i> | 14 |
| 3.2. <i>General Population</i> | 18 |
| 4. Statistical Tests | 20 |
| 4.1. <i>Professionals</i> | 20 |
| 4.2. <i>General Population</i> | 22 |
| 5. Conclusion | 22 |
| References | 25 |

II. France

| | |
|---|-----------|
| Rationing Health Care in Europe – France, <i>D. Benamouzig and Robert Launois</i> | 27 |
| 1. General Description of the French Health Care System | 30 |
| 1.1. <i>Demographic and Macro-Economic Context</i> | 30 |
| 1.2. <i>Changes in Health Care Expenditure</i> | 31 |
| 1.3. <i>The Impossible Control of Health Care Expenditure</i> | 34 |
| 1.4. <i>The New Physiognomy of the Health Care System</i> | 35 |
| 2. Survey Results for the General Population | 37 |
| 2.1. <i>Characteristics of the Population</i> | 38 |

| | |
|--|-----------|
| 2.2. <i>Financing and Regulation of Health Care Expenditure</i> | 39 |
| 2.3. <i>Conclusion</i> | 43 |
| 3. Survey Results for the Group of Professionals | 44 |
| 3.1. <i>Modifications to the Questionnaire and Sampling Procedure</i> | 44 |
| 3.2. <i>Analytical Method</i> | 45 |
| 3.3. <i>Characteristics of the Population</i> | 45 |
| 3.4. <i>Opinion of Doctors Concerning Control of Health Care Expenditure</i> | 46 |
| 4. Results of the Tests | 49 |
| 4.1. <i>The Generalist-Specialist Split</i> | 49 |
| 4.2. <i>Age Influences the Point of Views of Doctors with Respect to Control of Expenditure</i> | 52 |
| 4.3. <i>Control of Expenditure from the Point of View of Doctors' Length of Service</i> | 53 |
| 4.4. <i>Working either in Single-Handed Practice or in Groups Influenced the General Practitioners' Opinions</i> | 54 |
| 5. Conclusion | 55 |
| Appendix | 57 |
| References | 60 |

III. Germany

| | |
|---|-----------|
| <i>Rationing Health Care in Europe – Germany, Michael Blanke, Felix Hemstedt, Wolfgang Greiner and J.-M. Graf von der Schulenburg</i> | 61 |
| 1. General Description | 65 |
| 1.1. <i>Demographic Indicators</i> | 65 |
| 1.2. <i>Macroeconomic Indicators</i> | 68 |
| 1.3. <i>Description of the German Health Care System</i> | 69 |
| 1.4. <i>Competition and Relationships in the German Health Care System</i> | 77 |
| 1.5. <i>Health Care Reforms</i> | 78 |
| 2. Explicit Rationing | 81 |
| 2.1. <i>Negative List</i> | 81 |
| 2.2. <i>Positive List</i> | 81 |
| 2.3. <i>Planning of Requirements</i> | 82 |
| 2.4. <i>Fixed Price System</i> | 83 |
| 2.5. <i>Arrangements and Effects of Co-Payments in Germany</i> | 85 |
| 3. Implicit Rationing | 88 |
| 3.1. <i>Budgets</i> | 88 |
| 3.2. <i>Other Implicit Rationing Measures</i> | 93 |
| 4. Descriptive Analysis – Survey Results | 94 |
| 4.1. <i>Sampling Procedure Description for the Group of the General Population</i> | 94 |
| 4.2. <i>Results for the Group of the General Population</i> | 95 |

| | |
|---|------------|
| 4.3. <i>Sampling Procedure Description for the Group of Professionals</i> | 99 |
| 4.4. <i>Results for the Group of Professionals</i> | 100 |
| 5. Results of the Tests | 108 |
| 5.1. <i>Results General Population</i> | 108 |
| 5.2. <i>Results Professionals</i> | 117 |
| 6. Conclusion | 128 |
| 6.1. <i>General Perception of Rationing</i> | 128 |
| 6.2. <i>Financing</i> | 128 |
| 6.3. <i>Reasons for the Ongoing Cost Escalation</i> | 128 |
| 6.4. <i>Foundations for Rationing and Responsibilities</i> | 129 |
| 6.5. <i>Preventive Measures and Rationing</i> | 129 |
| 6.6. <i>Ratings for Different Methods of Rationing Health Care</i> | 130 |
| 6.7. <i>Need for Rationing</i> | 130 |
| 6.8. <i>The Existence of Rationing</i> | 130 |
| 6.9. <i>Influence of Rationing on Quality</i> | 131 |
| 6.10. <i>Experiences with Rationing of the General Population</i> | 131 |
| 6.11. <i>Final Conclusion</i> | 131 |
| Appendix | 133 |
| References | 145 |

IV. Portugal

| | |
|---|------------|
| Rationing Health Care in Europe – Portugal, <i>Carlos Gouveia Pinto & Filipa Aragão</i> | 149 |
| 1. Introduction | 152 |
| 2. Portugal over the 90s | 152 |
| 2.1. <i>Demographic Evolution</i> | 152 |
| 2.2. <i>Macroeconomic Framework</i> | 154 |
| 2.3. <i>The Health Care System throughout the 90s</i> | 155 |
| 3. Rationing Policies | 161 |
| 3.1. <i>Explicit Rationing</i> | 162 |
| 3.2. <i>Implicit Rationing</i> | 165 |
| 4. Final Remarks | 169 |
| 5. Introduction to the Empirical Report | 170 |
| 6. Methodology | 171 |
| 7. Results | 171 |
| 7.1. <i>Population</i> | 172 |
| 7.2. <i>Providers, Decision-Makers and Opinion Leaders</i> | 174 |

| | |
|--|------------|
| 8. Conclusions | 176 |
| Appendix A. Providers, Decision Makers and Opinion Leaders Surveyed | 179 |
| Appendix B. Statistical Tests | 183 |
| Appendix C. Additional Tables | 189 |
| References | 192 |

V. Spain

| | |
|--|------------|
| Rationing Health Care in Europe – Spain, Fernando Antoñanzas & Rosa Rodríguez-Monguió | 197 |
| 1. Introduction | 200 |
| 2. General Description of the Spanish Health Care System | 200 |
| 2.1. <i>Description of the Demographic Indicators</i> | 200 |
| 2.2. <i>Description of the Economic Variables</i> | 201 |
| 2.3. <i>Health Sector Variables</i> | 202 |
| 2.4. <i>Competition and Incentives</i> | 205 |
| 2.5. <i>Health Reforms</i> | 206 |
| 2.6. <i>Health Care Financing and Budgeting</i> | 207 |
| 3. Explicit Rationing Measures | 207 |
| 3.1. <i>Description of the Spanish Catalogue</i> | 208 |
| 3.2. <i>Selective Reimbursement of Drugs</i> | 210 |
| 3.3. <i>Co-Payments</i> | 213 |
| 4. Other Explicit Rationing and Rationalization Measures | 215 |
| 4.1. <i>Evaluation of Health Technologies</i> | 215 |
| 4.2. <i>Clinical Guidelines</i> | 217 |
| 4.3. <i>Medical Budgets</i> | 217 |
| 5. Implicit Rationing Measures | 218 |
| 5.1. <i>Waiting Lists</i> | 218 |
| 6. Other Rationing Measures | 220 |
| 6.1. <i>Price Control for Pharmaceuticals</i> | 220 |
| 6.2. <i>Supply Control Measures</i> | 220 |
| 7. Summary | 221 |
| 8. Health Professionals | 221 |
| 8.1. <i>Description of the Sampling Procedure</i> | 221 |
| 8.2. <i>Descriptive Statistics</i> | 223 |
| 8.3. <i>Inferential Part (Results of the Tests)</i> | 228 |
| 8.4. <i>Summary and Conclusions of the Professionals</i> | 236 |
| 9. General Population | 238 |
| 9.1. <i>Description of the Sampling Procedure</i> | 238 |
| 9.2. <i>Descriptive Statistics</i> | 239 |

| | |
|---|------------|
| 9.3. <i>Inferential Part (Results of the Tests)</i> | 242 |
| 9.4. <i>Conclusions General Population</i> | 247 |
| References | 249 |

VI. United Kingdom

| | |
|---|------------|
| <i>Rationing Health Care in Europe – United Kingdom, Anthony J. Culyer & Adriana Castelli</i> | 255 |
| 1. Introduction | 257 |
| 2. Demographics | 257 |
| 3. Principal Macroeconomic Indicators | 261 |
| 4. Principal Health Care Sector Data | 269 |
| 5. Organisational Structure of the NHS | 272 |
| 6. Rationing in the NHS | 273 |
| 7. The National Health Service, Demand-Side Socialism, and the Future | 276 |
| 8. Introduction to the Survey | 277 |
| 9. Questionnaire and Survey Design | 278 |
| 10. Characteristics and Views of the General Population | 279 |
| <i>10.1. General Population Sample: Socio-Demographic Characteristics</i> | 280 |
| <i>10.2. General Population and Individuals' Opinions about the Financing of the NHS</i> | 283 |
| <i>10.3. General Population and Rationing of Medical Services</i> | 285 |
| 11. Characteristics and Views of Professionals | 288 |
| <i>11.1. Professional Sample: Socio-Demographic Characteristics</i> | 288 |
| <i>11.2. Health Care Professionals' Opinions about the Financing of the NHS</i> | 290 |
| <i>11.3. Health Care Professionals and Rationing of Medical Services</i> | 294 |
| 12. Highlights | 303 |
| References | 305 |

VII. Final Evaluation Summary and Comparative Analysis

| | |
|---|------------|
| 1. Final Evaluation Summary and Comparative Analysis | 309 |
| 1.1. <i>Analysis of the General Populations</i> | 309 |
| 1.2. <i>Analysis of the Professionals</i> | 312 |
| 1.3. <i>Testing of the Hypothesis – Equal and Controversial Results</i> | 316 |
| 1.4. <i>Final Conclusion</i> | 317 |

List of Tables

Introduction to the Survey

| | |
|---|-------|
| Table 1: Variables Used for Analysing Hypotheses (General Population) | xxiii |
| Table 2: Variables Used for Analysing Hypotheses (Professionals) | xxiv |

I. Finland

| | |
|---|----|
| Table 1: Demographic and Health Indicators, 1985 – 2001 | 7 |
| Table 2: The Amount of Professionals in the Finnish Health Care System | 11 |
| Table 3: The Amount of Clinical Operations per 100 000 Citizen | 12 |
| Table 4: The Main Causes of Death in the Year 2000 in Finland | 12 |
| Table 5: The Characteristics of the Professional Respondents | 14 |
| Table 6: The Causes of Cost Escalation | 15 |
| Table 7: The Health Care System Underinvests in the Following Preventive Therapies | 15 |
| Table 8: User Charges Should be Adopted to the Use in the Following Preventive Therapies | 15 |
| Table 9: The Need for Rationing in Different Therapy Sectors | 16 |
| Table 10: Rationing Has Already Taken Place in the Following Therapy Sectors | 16 |
| Table 11: The Responsibility of Rationing | 17 |
| Table 12: Methods for Rationing in Health Care | 17 |
| Table 13: The Basis for Rationing | 18 |
| Table 14: The Most Preferred Forms of Rationing | 18 |
| Table 15: The Main Characteristics of the General Population Respondents | 19 |
| Table 16: The Most Severe Shortages in the Finnish Health Care System | 19 |
| Table 17: Influence of Various Factors on Opinions about Remuneration and Cost Escalation | 21 |
| Table 18: Influence of Various Factors on Opinions about Competition and Cost Escalation | 21 |

II. France

| | |
|---|----|
| Table 1: Financing of Current Health Expenditures | 33 |
| Table 2: Consumption of Medical Care and Goods | 33 |
| Table 3: Distribution of Patient according to Age Band | 38 |
| Table 4: Health Care Expenditure Financing Bodies | 40 |
| Table 5: Relative Importance of Reducing Health Expenditure Sectors (n=698 subjects) | 42 |
| Table 6: Fields in which Health Resources Were Lacking (n=698 subjects) | 43 |
| Table 7: Two by Two Table Showing Length of Practice/Speciality | 46 |
| Table 8: Number and % of Doctors Who Considered a Cause to be Important or Very Important | 47 |

| | |
|---|----|
| Table 9: Percentage of Responses Concerning Technical Progress in Terms of Treatment | 50 |
| Table 10: Opinions of General Practitioners (GP) and Specialists (SP) on the Consequences of Control of Health Care Expenditure | 51 |
| Table 11: Can the Increase in Health Care Expenditure Be Controlled by any Form of Expenditure Control? | 54 |

III. Germany

| | |
|--|-----|
| Table 1: Macroeconomic Indicators | 69 |
| Table 2: Co-Payments in the Statutory Health Insurance | 86 |
| Table 3: Modification of Budget Regulations in Outpatient Care, in Inpatient Care and in Drugs | 89 |
| Table 4: Targets and Realized Number of Filled Out Questionnaires (Group: Professionals) | 100 |
| Table 5: Grouping Variables of Professionals | 101 |
| Table 6: Assumed Causes for the Ongoing Cost Escalation | 102 |
| Table 7: Other Possible Reasons for the Ongoing Cost Escalation | 103 |
| Table 8: Judgements about Methods of Rationing | 107 |
| Table 9: Judgements about Fundamentals of Rationing | 107 |
| Table 10: Which Form of Rationing is Most Effective in Controlling Cost Escalation? | 108 |
| Table 11: Influences of Characteristics on the Answers to the Question, whether Expenditures for Health Should be Limited | 109 |
| Table 12: Influences of Characteristics on the Answers to the Question, whether the Cost and Medical Benefit Relation Should Serve as a Foundation for Rationing | 113 |
| Table 13: Influences of Characteristics on the Answers to the Question, whether a Need for Rationing in the Sector of Administration Exists | 120 |
| Table 14: Influence of Rationing on Quality in Several Sectors (Significant Results) | 124 |

IV. Portugal

| | |
|---|-----|
| Table 1: Population Structure | 153 |
| Table 2: Fertility and Mortality Rates (%) | 153 |
| Table 3: Main Causes of Mortality (%) | 153 |
| Table 4: Main Macroeconomic Indicators | 154 |
| Table 5: Health Care Expenditure, % GDP | 155 |
| Table 6: Health Care Financing Sources, % of Total Expenditure | 156 |
| Table 7: Public/Private Shares in the Hospital Sector | 157 |
| Table 8: Human Resources in the Health Care Sector | 157 |
| Table 9: Health Care Utilisation Indicators | 158 |
| Table 10: Number of Inhabitants per Unit of Heavy Equipment Guidelines for Approval of Installation | 164 |
| Table 11: Evolution of Surgeries under the PPA | 166 |
| Table 12: PPA per Pathology in 2001 | 167 |
| Table 13: Execution of the Program for the Promotion of Access in 2001 | 167 |
| Table 14: Transplants | 169 |
| Table 15: Age and Sex Distribution | 172 |

| | |
|--|-----|
| Table 16: Education Level | 172 |
| Table 17: Indicators of Health Care Needs | 172 |
| Table 18: Areas where there is Underinvestment | 175 |
| Table 19: Agreement on User Charges | 175 |
| Table 20: Rationing Policies | 175 |
| Table 21: Basis of Rationing Decisions | 176 |

V. Spain

| | |
|---|-----|
| Table 1: Population Trends | 200 |
| Table 2: Spanish Macroeconomic Variables | 201 |
| Table 3: Distribution of Production | 201 |
| Table 4: Main Health Care and Pharmaceutical Indicators (1) Compared to GDP and Population in the European Union and Other Countries, 1999 (e). | 204 |
| Table 5: Health Care Personnel 1970-97 | 205 |
| Table 6: Group Sampling | 222 |
| Table 7: Age Structure | 223 |
| Table 8: Education Level | 224 |
| Table 9: Causes of Ongoing Cost Escalation | 225 |
| Table 10: Impacts of Rationing in Health Care Services | 227 |
| Table 11: Existence of Rationing in some Health Care Services | 227 |
| Table 12: (Question 6a) Technical Progress (Diagnosis) | 229 |
| Table 13: (Question 6b) Technical Progress (Treatment) | 229 |
| Table 14: (Question 6c) Moral Hazard | 229 |
| Table 15: (Question 7a) Inpatients | 230 |
| Table 16: (Question 7b) Day Patients | 230 |
| Table 17: (Question 7c) General Care | 230 |
| Table 18: (Question 7e) Dental Treatments | 231 |
| Table 19: (Question 7h) Spa Treatments | 231 |
| Table 20: (Question 9b) Public Health Insurance Plans | 231 |
| Table 21: (Question 9d) Pharmaceutical Industry | 232 |
| Table 22: (Question 9f) Scientists | 232 |
| Table 23: (Question 10b) Preventive Treatments | 232 |
| Table 24: (Question 10c) Education and Training Campaigns | 233 |
| Table 25: Question 11a (Screening), Question 11b (Preventive), Question 11c (Education and Training Campaigns) | 233 |
| Table 26: (Question 12a) Service Provider Budgets | 234 |
| Table 27: (Question 12b) Clinical Guidelines | 234 |
| Table 28: (Question 12e) Negative Lists | 234 |
| Table 29: (Question 13) | 235 |
| Table 30: (Question 14a) Rate the Fundamentals of Rationing | 235 |
| Table 31: (Question 16e) Dental Treatment | 236 |
| Table 32: Education | 239 |
| Table 33: Willingness to Pay for Additional Services and Higher Co-Payments | 240 |
| Table 34: Selection of Services to be Rationed | 241 |
| Table 35: Health Services Where No Shortages Were Perceived | 241 |
| Table 36: Cost to Medical Benefit Ratio | 243 |
| Table 37: Doctors' Personal Decision | 244 |
| Table 38: Doctors' Income | 244 |
| Table 39: Number of Doctors | 245 |

| | |
|---|-----|
| Table 40: Number of Nurses | 246 |
| Table 41: Affected by Rationing in the Past | 246 |

VI. United Kingdom

| | |
|--|-----|
| Table 1: Mid-Year Home Populations, UK 1991–1999 (millions) | 257 |
| Table 2: Age Distribution of UK Population 1948–2001 | 258 |
| Table 3: Death Rates per 1,000 Population by Age Group, UK 1948–1999 | 259 |
| Table 4: Birth Rates and Infant Mortality, UK, 1948–1959 | 259 |
| Table 5: Male and Female Age Standardised Mortality Rates, 1998 | 260 |
| Table 6: Gross Domestic Product at Current Prices (£ million) 1989–1999 | 261 |
| Table 7: Gross Domestic Product per Capita (£), 1989–1999 | 262 |
| Table 8: UK Health Care Expenditure 1989–2000 (£ Million) | 263 |
| Table 9: GDP, Health Care Expenditures and the Share of Health Care Expenditures in GDP, 1989–2002 (£ billion) | 263 |
| Table 10: UK per Capita Health Care Expenditure, 1989–2002, (£) | 264 |
| Table 11: Unemployment by Gender, 1991–2001 (millions) | 265 |
| Table 12: Male Unemployment Rate by Age Group, United Kingdom, 1991–2001 | 266 |
| Table 13: Female Unemployment Rate by Age Group, United Kingdom, 1991–2001 | 267 |
| Table 14: NHS Sources of Finance, 1989–1999 | 269 |
| Table 15: Proportion of NHS Expenditure Spent on Each Service, 1990/91–1998/99 | 270 |
| Table 16: Number of GPs, UK 1991–1999 | 270 |
| Table 17: GPs per 100,000 Population, UK 1991–1999 | 271 |
| Table 18: Number of Nurses and Midwifery Staff ('000s), UK 1991–1999 | 271 |
| Table 19: Average Daily Number of Hospital Beds per 1,000 Population, UK 1990/91–1999/00 | 272 |
| Table 20: Waiting Times in England for the Ten Most Common Surgical Procedures (1998/9) | 275 |
| Table 21: Patients Waiting for Elective Admission to Hospital, England, 1995–2001 ('000) | 276 |
| Table 22: Patients Waiting on 31 March for Elective Admission, England, 1992–2001 ('000) | 276 |
| Table 23: Respondents' and North Yorkshire Population Age Distribution* | 280 |
| Table 24: Percentages of Respondents by Level of Education* | 281 |
| Table 25: Education of Respondents by Gender, Frequencies and Percentages | 281 |
| Table 26: Education of Respondents' by Age Group, Frequencies and Percentages | 282 |
| Table 27: Respondents' Current and Past Health Status (percentages)* | 282 |
| Table 28: Percentages of Chronic Ill Respondents and Respondents Who Have Suffered from a Serious Disease by Age Group and Sex* | 283 |
| Table 29: Percentage Answers to a Series of Questions (listed below)* | 283 |
| Table 30: Percentage Answers to Question "Are You Willing to Pay a Use Charges for Some Services in Exchange for Lower Taxation?"* | 284 |
| Table 31: Percentage Answers to a Series of Questions (listed below)* | 285 |
| Table 32: Percentage Answers to Question "On What Foundation Should Rationing be Based?"* | 286 |
| Table 33: Percentage Answers to Question "If the Health Care System Has to Cut its Expenditure Back Where Would You Choose to Make these Cuts?"* | 287 |
| Table 34: Percentage Answers to Question "Where Do You Think the Greatest Shortages Exist in the NHS?"* | 287 |
| Table 35: Frequencies and Percentages of Questionnaires Sent Out and Completed | 289 |

| | |
|---|-----|
| Table 36: Professional Respondents' Age Distribution * | 289 |
| Table 37: Percentages of Respondents by Level of Education* | 290 |
| Table 38: Health Care Professionals and Years Spent in their Current Profession, Percentages* | 290 |
| Table 39: GDP, Health Care Expenditures and the Share of Health Care Expenditures in GDP, 1989–2002 (£ Billion) | 291 |
| Table 40: Percentage Answers to Question “What Do You Think are the Main Causes of Rising Health Care Costs”* | 292 |
| Table 41: Overview about Respondents' Profession Influence on Answers Regarding Main Causes of Rising Health Care Costs* | 292 |
| Table 42: Percentage Answers to Question “Do You Think that the NHS Underinvests in”* | 294 |
| Table 43: Percentage Answers to the Question “Should Rationing Criteria be Explicit/Implicit at either the Community or Individual Level?”* | 294 |
| Table 44: Percentage Answers to the Question “Assess the Following Criteria for Rationing”* | 295 |
| Table 45: Percentage Answers to the Question “Assess the Desirability of the Following Methods of Rationing Health Care”* | 296 |
| Table 46: Percentage Answers to the Question “Should the NHS Have User Charges for the Following?”* | 297 |
| Table 47: Percentage Answers to the Question “Where is Rationing Most Needed”* | 298 |
| Table 48: Percentage Answers to Question “Who Ought to Decide on which Technologies are Available in the NHS?”* | 299 |
| Table 49: Percentage Answers to the Question “How Do You Think Overall Health is Currently Being Affected by Rationing”* | 300 |
| Table 50: Percentage Answers to the Question “Do You Think Rationing already Exists in”* | 301 |

VII. Final Evaluation Summary and Comparative Analyses

| | |
|--|-----|
| Table 1: Agreements to certain aspects of financing health care systems by country | 309 |
| Table 2: Agreements on certain foundations of rationing | 310 |
| Table 3: Agreements to Cuts in Certain Areas | 310 |
| Table 4: Assessment of current shortages by country | 311 |
| Table 5: Affections by rationing in the past | 311 |
| Table 6: Causes for the ongoing cost escalation | 312 |
| Table 7: Where is the most need for rationing assessed | 312 |
| Table 8: Responsibilities for Rationing Resources | 313 |
| Table 9: Ranking of Ratings about Methods of Rationing Health Care | 314 |
| Table 10: Agreements to Certain Foundations of Rationing – Ranking by country | 315 |
| Table 11: Believe in Success of Controlling Cost Escalation by Rationing | 315 |

List of Figures

Introduction to the Survey

| | | |
|---------|--------------------|-----|
| Fig. 1: | Appliance of Tests | xxv |
|---------|--------------------|-----|

I. Finland

| | | |
|---------|---|----|
| Fig. 1: | The Population Structures of the Hospital District of Northern Savo (KUH) and Finland's total | 5 |
| Fig. 2: | The Distribution of the Survey Sample (n=930) | 6 |
| Fig. 3: | Annual Changes in GDP and the State's Debt between Years 1992-2001 | 7 |
| Fig. 4: | The Total Unemployment Rate between Years 1990-2001 | 8 |
| Fig. 5: | Health Care Expenditure as a Share of GDP an at Current Prices in 1991-1999 (%) | 9 |
| Fig. 6: | Financing of Public Health Care Expenditure in 1991-1999 (%) | 9 |
| Fig. 7: | Finnish Health Care Financing Flow Chart (NHI=National Health Insurance) | 10 |

III. Germany

| | | |
|----------|---|-----|
| Fig. 1: | Population Trend 1991-1999 | 65 |
| Fig. 2: | Age Distribution | 66 |
| Fig. 3: | Population over 65 | 66 |
| Fig. 4: | Life Expectancy of people born in the stated time period | 67 |
| Fig. 5: | Fertility Rate and Mortality Rate (per Thousand Persons) | 67 |
| Fig. 6: | Infant Mortality | 68 |
| Fig. 7: | Number of Sickness Funds | 69 |
| Fig. 8: | Number of Physicians (Outpatient and Inpatient) | 71 |
| Fig. 9: | Other Professions in the Health Service Sector | 71 |
| Fig. 10: | Per Capita Beds | 71 |
| Fig. 11: | Contributory Revenues per Member | 73 |
| Fig. 12: | Expenses and Income of the Statutory Health Insurance (West Germany) | 73 |
| Fig. 13: | Expenses and income of the Statutory Health Insurance (East Germany) | 73 |
| Fig. 14: | Expenses for Health in Percent of the Gross Domestic Product 2000 | 74 |
| Fig. 15: | Who Paid the Expenses for Health in 1998 | 75 |
| Fig. 16: | Grouping-Algorithm of the AR-DRG System | 76 |
| Fig. 17: | The System of Inpatient and Outpatient Care in Germany | 78 |
| Fig. 18: | Development of the Price Component due to the Fixed Price System | 84 |
| Fig. 19: | Price-Index according to Social Code Book V (§ 35) | 85 |
| Fig. 20: | Changes in Pharmacy Turnovers 1992-2001 | 91 |
| Fig. 21: | Age Pattern of Answering Persons in the General Population | 94 |
| Fig. 22: | School Qualifications General Population | 95 |
| Fig. 23: | Answer Pattern to Question 13 | 96 |
| Fig. 24: | Results to the Question: Rationing Should Be Based on which Foundation? | 97 |
| Fig. 25: | Answer Pattern to the Question of Shortages? | 98 |
| Fig. 26: | How Important is Rationing in the Administration? | 105 |

| | | |
|----------|--|-----|
| Fig. 27: | Answers to the Question: Whose Responsibility is it to Ration Resources? | 105 |
| Fig. 28: | Financing of the Health Care System by Taxation according to Level of Education | 108 |
| Fig. 29: | Limiting Expenditures for Health according to Age | 110 |
| Fig. 30: | Limiting Expenditures for Health Care according to Level of Education | 110 |
| Fig. 31: | Acceptance of Higher Premiums for a More Generous Health Care System by Age | 111 |
| Fig. 32: | Acceptance of User Charges according to Level of Education | 112 |
| Fig. 33: | Cost and Medical Benefit as a Foundation for Rationing according to Age | 112 |
| Fig. 34: | Importance of Cuts in the Sector of Pharmaceuticals according to Level of Education | 114 |
| Fig. 35: | Importance of Cuts in the Sector of Length of Inpatient Stay according to the Level of Education | 115 |
| Fig. 36: | Importance of Cuts in Numbers of Services Offered according to the Level of Education | 115 |
| Fig. 37: | Technical Progress (Treatment) as a Cause for Cost Escalation according to Levels of Education | 117 |
| Fig. 38: | Insufficient Competition as a Cause for Cost Escalation according to Levels of Education | 118 |
| Fig. 39: | Importance for the Need of Rationing in the Sector of Rehabilitation Care according to Experience (Measured in Years Occupied in Profession) | 119 |
| Fig. 40: | Opinions to Underinvestments in Preventive Treatment according to Experience in Profession (in Years) | 121 |
| Fig. 41: | Opinions to Service Provider Budgets – according to Level of Education | 122 |
| Fig. 42: | Rating of the Negative List for Pharmaceuticals according to Family Situation | 122 |
| Fig. 43: | Rating of the Positive List for Pharmaceuticals according to Age | 123 |
| Fig. 44: | Influences of Rationing on the Overall Health concerning the Sector of Pharmaceuticals according to Level of Education | 124 |
| Fig. 45: | Changes of Quality in the Sector of Administration Caused by Rationing according to Level of Education | 125 |
| Fig. 46: | Opinions of the Existence of Rationing in Spa Treatment according to Years in Current Profession | 126 |
| Fig. 47: | Assessment of the Need for Rationing in the Sector of Administration according to Level of Education | 127 |
| Fig. 48: | Assessments of Rationing in the Sector of Administration according to Years in Profession | 127 |

IV. Portugal

| | | |
|---------|-----------------------------------|-----|
| Fig. 1: | The Portuguese Health Care System | 159 |
|---------|-----------------------------------|-----|

V. Spain

| | | |
|---------|---|-----|
| Fig. 1: | Age Structure Health Professionals | 223 |
| Fig. 2: | Education Level of Health Professionals | 224 |
| Fig. 3: | Age Structure General Population | 239 |
| Fig. 4: | Education General Population | 240 |

VI. United Kingdom

| | | |
|---------|---|-----|
| Fig. 1: | GDP per Capita, 1990 - 1999, (£) | 262 |
| Fig. 2: | UK Employment Rates: by Gender | 265 |
| Fig. 3: | Unemployment by Gender, 1999-2001 | 266 |
| Fig. 4: | Retail Price Index | 267 |
| Fig. 5: | PRI – Percentage Change over 12 Months | 268 |
| Fig. 6: | NHS Pay and Prices** Index and GDP* Deflator Index, 1975/76-1998/99 | 268 |
| Fig. 7: | Indices of Total UK NHS Expenditure at Constant Prices, 1975-1998 | 269 |
| Fig. 8: | The Organizational Structure of the NHS | 272 |