CONTENTS

Foreword v
Introduction vi
Acknowledgements viii
List of Boxes xviii
List of Tables xx
List of Figures xxi
List of Maps xxii
List of Acronyms and Abbreviations xxiii
Key Issues and Messages xxvi
Prologue: Human Settlements in a Globalizing World xxx

PART I
THE DEVELOPMENT CONTEXT: CHANGES AND CHALLENGES

1 Development Contrasts in Human Settlements 3
   Highlights 3
   Contrasts in urbanization patterns 3
   Contrasts in the wealth of cities 3
   Contrasts in competitiveness 3
   Contrasts in opportunities 4
   Contrasts in local and global priorities 4
   Contrasts within countries and regions 5
   Contrasts within urban areas 5
   Uneven Development: Impacts of Information and Communication Technologies on Human Settlements 5
   ICTs and contemporary urbanization: a critical nexus 5
   Challenges for urban analysis 6
   Not the ‘death of distance’: why the dominant logic of ICT-based development supports urban polarization 7
   New technologies tend to extend the reach of the economically and culturally powerful 7
   Urban polarization and the internet 7
   The internet and the restructuring of US cities 8
   ICTs as supports to the restructuring of human settlements: integrating international divisions of labour 9
   ICTs, urban polarization and restructuring in developing cities 10
   Cultural and economic biases of the international information marketplace 12
   Bridging the urban digital divide 13
   Human Settlements in a Polarizing World: Poverty and Inequity 13
   Decreases in income and increases in cost of living 13
   The urbanization of poverty 14
   Inequality and polarization 15
   The developing countries 16
   The countries with economies in transition 17
   The industrialized countries 19
   Assessment of trends 22
2 Urban Impacts of Globalization
Globalization, Urban Planning and Democracy
Physical Reflections of Globalization
Inequality and the quartering of urban space
Mobile citadels of wealth and business
The quarter of gentrification
Suburbanization inside and outside the city
The old working class quarter and the immigrant enclave
Abandonment and the new ghetto of exclusion
Walls between the quarters
The debasing of the urban cultural environment
The declining public orientation of the state and the distortions of land use by the market
The residualization of social housing
Countervailing Favourable Trends
The democratization of decision-making
The advance of knowledge

3 Framing Normative Policy Platforms
Antecedents and Context
Global Strategy for Shelter to the Year 2000
Human Settlements Policy Issues in United Nations Development Agendas in the 1990s
The focus on poverty and human rights
The emphasis on empowerment and gender equality
Innovations
The Istanbul Declaration and Habitat Agenda
Goals and principles
Commitments
Strategies
Evaluation
The Habitat Agenda in broader context

PART II
EMERGING APPROACHES TO URBAN GOVERNANCE AND POLITICS

4 The Changing Context and Directions of Urban Governance
The Repertoire of Governance Strategies
Markets
Hierarchies
Networks
The Globalization Paradox
Emerging Elements of Governance
Decentralization and formal government reform at the area-wide level
Civil society participation in policy making
Multi-level governance and partnerships
Decision-making structures
Lessons and Analyses
Formal public institutions are crucial actors in urban governance
New key role for the state in urban governance
Partnerships cannot be a comprehensive form of urban governance
Area-based policies and actions are not a panacea
Political leadership is a key element of governance
The political strengthening of metropolitan areas
The Democratic Challenge: Insights from Latin America
Neopluralist democracy
The need for inclusion
PART III

CHANGES IN HOUSING FINANCE AND SHELTER DELIVERY SYSTEMS

6 The Developing Countries
Housing Finance: Needs and Capacity
  Direct investment by low-income residents
  State investment programmes
  Formal financial sector
  Micro-finance institutions
Housing Finance and Globalization
  Poverty
  Credit
  Land
  State funds
  Institutional responses
    Local government
    NGOs
    Civil society groups
Implications for Policy
  Land and secure tenure
  Access to credit
  Partnerships with local communities

7 The Countries with Economies in Transition
Marketization
  Housing privatization
  Formation of the rental market
  Structural changes in the construction market
  Formation of the housing market: availability and affordability
  Development of financial markets
Deregulation
  Changes in the state's social mandate in the housing sector
  Termination of state rent control
  Regulation of local natural monopolies
  Growing income dependence of housing consumption rates and housing segregation
Decentralization
  Increased role of local authorities and the burden of housing payments
  The danger of accelerated decay
Concerns and Challenges

8 The Industrialized Countries
Housing Ownership
Globalization and the Role of Government in Housing Finance
Globalization and the Ability to Pay for Housing
  Countries with liberal/dualist housing systems
  Countries with social democratic housing systems
  Countries with 'residual' housing systems
PART IV
DEVELOPMENTS IN THE URBAN ENVIRONMENT AND INFRASTRUCTURE

9 Urban Health in the Developing Countries
Urban Populations Lead the Health Transition 105
Injuries 105
Mental ill-health 106
Chronic or 'lifestyle' diseases 107
Emerging Evidence of an Urban Penalty? 107
Shifting the Focus from the Urban Poor to Urban Inequity 110
Beyond the Rural-Urban Divide 110

10 Assessments of the Urban Environment: Water Supply and Sanitation Services 114
From Habitat II to The Hague World Water Forum: Global Patterns and Trends 114
The Hague Water Vision 21: Water for People 115
Persistent and Emerging Gaps 115
Gaps between water supply and sanitation 115
Gaps in gender equity and empowerment 116
Gaps in institutional and financial restructuring 116
Privatization and commodification of water 116
Gaps between water infrastructure and environmental management 118
Gaps between first principles, best practices and ex post evaluation 119
Gaps between water, sanitation and human settlements policy initiatives 120
Implications 121
Focus on gaps 121
Improve data, analytical tools and historical reviews 121
Develop clear objectives for coordinating water, sanitation and human settlements programmes 122
Basing Policies on Inaccurate Data? The Importance of Critical Scrutiny 122
The problem of inaccurate data 122
Water provision 123
Sanitation 125

11 Impacts of Recent Trends on Urban Transport 128
Transport and Urban Form 128
Wealthy Cities are Slowing Down in Car Use 128
Public Transport is Growing Nearly Everywhere 129
Transport and Social Exclusion 129
Cities in the more developed economies 129
Cities in the developing economies 129
Poor Cities are Being Trapped in Traffic 130
Reducing Car Dependence 132

12 Energy Demands and Consumption 134
Historical Patterns of Energy Production and Consumption 134
Development Constraints Created by Urban Energy Consumption Patterns 136
Sustainable Energy Technologies Appropriate for Urban Applications 139
Strategies for Achieving Reform in Urban Energy Sectors 141
Appendix A: Energy Data Sources and Conversion Procedures 144
Appendix B: Methods Used to Calculate Energy Consumption Quintiles 144

13 Decentralization and Urban Infrastructure Management Capacity 146
Overview 146
Decentralization and infrastructure policy 146
Decentralization of infrastructure services 146
Expanding the scope for private sector involvement 147
A growing role for NGOs and civil society 147
Challenges in the decentralization of infrastructure 148
Decentralized Institutional Frameworks, Participatory Processes and Capacity Building 148
The role of regional and intermediary institutions 149
Strengthening local government leadership and initiative 149
Partnerships between municipalities and NGOs 150
Community-based approaches to infrastructure services and neighbourhood revitalization 151
Financing Investments in Infrastructure: The Expanding Scope for Intermediary Institutions and Public–Private
Partnerships 152
Public/private partnerships to finance infrastructure 153
The role of intermediary institutions in infrastructure finance 154
Privatization of infrastructure services: Public utility companies 155
Equitable Access to Infrastructure and the Empowerment of Poor and Marginalized Communities 155
Community-based financing of infrastructure projects 156

PART V
ENSURING DEVELOPMENT PROSPECTS

14 Building Capacity 161
Strengthening Capacity of Local Authorities and their Partners 162
Drafting a world charter of local self-government 162
Funding to strengthen municipal capacity 163
Municipal international cooperation 163
Evaluating MIC initiatives 164
Capacity Building through Partnerships 165
Issues involved in public–private partnerships 166
Criteria for assessing partnerships 169
People-to-People Community-based Approaches 171
The Alliance 171
The setting 171
The politics of patience 172
Words and deeds 172
The international horizon: globalization-from-below 175
The Role of Development Agencies in Capacity Building 176
‘Going to scale’ in supporting community initiatives 177
Working with NGOs and civil society groups 178

15 Strengthening Post-disaster Reconstruction of Human Settlements 182
Consequences of Armed Conflict, Natural and Technological Disasters 182
Post-disaster Recovery: Learning from Recent Experience 183
Enhancing the Classical Post-disaster Recovery Model 187
The institutional framework: globalization and disaster recovery 188
The classical recovery model defined 188
Enhancing the classical model 189
Opportunities for local capacity building 189

PART VI
BUILDING A COMMON FUTURE

16 Adequate Shelter for All: Strategic Foci 195
Combating Homelessness 195
The diversity of homelessness 195
Framing policies to overcome the limits of market mechanisms 198
Supporting the Realization of Housing Rights 201
Recent developments 202
Property restitution 203
Addressing forced evictions 203
Security of tenure 204
Focusing further actions 205
Reappraising housing rights standards 205
Creating a global property registry 205
Developing housing rights indicators 206
Improving complaint mechanisms

Strengthening Appropriate Forms of Housing Tenure
Recognizing the diversity of relevant tenure forms
Rent
Leasehold
Freehold
Conditional freehold: 'rent-to-buy'
Communal tenure
Forms of collective tenure

Housing cooperatives
Advantages for low-income housing
Potential problems
Government policies

17 Sustaining Human Settlement Development: Strategic Foci

Supporting the Governance of More Inclusive Cities
The growing importance of good governance
Poverty eradication at the local level
The informal sector
Investing in the poor

Combating Corruption
Corruption within municipalities: what can be done?
A preventive strategy
Assessing corruption
Corruption in the water sector
International initiatives
Country responses

Reconceptualizing transparency: grassroots movements for accountability to the poor
Local participatory auditing of the public sector
Private sector transparency that goes beyond disclosure of financial performance

Lessons

Reducing Urban Violence
Definitional issues
Measurements
Categories of urban violence
Causes of urban violence
Individual level
Interpersonal level
Institutional level
Structural level

Characteristics of urban violence
Costs and consequences of violence in urban areas
The erosion of physical capital
The erosion of human capital
The erosion of natural capital
The erosion of social capital

Interventions to reduce urban economic and social violence: ‘good practice’ examples
Criminal justice approach
Public health approach
Conflict transformation approach
Human rights approach
Social capital approach
Towards integrated approaches to urban violence reduction

Epilogue: Liveability of Cities in a Globalizing World
PART VII
STATISTICAL ANNEX

List of Tables 257
Technical Notes 258

Country Level Data 268
Demographic Indicators and Household Projections 268
Housing and Infrastructure Indicators 277
Spatial and Economic and Social Indicators 291

City Level Data 300
Demographic Indicators and Household Projections 300
Housing and Infrastructure Indicators 312
Economic and Social Indicators 324

Index 331